

Modification on inspection requirements & marking of presence of restricted substance for controlled Household & IT/AV appliances

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November 16, 2017

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- Inspection types for commodity in Taiwan
- Announced Household appliance control
- Announced IT/AV appliance control
- Announced control of RoHS



Inspection types

According to Taiwan Commodity Inspection Act, there are four inspection types as follows,

- 1. Batch-by-batch inspection (BBI or BI)**
- 2. Monitoring Inspection (MI)**
- 3. Registration of Product Certification (RPC)**
- 4. Declaration of Conformity (DoC)**



Inspection types

Batch-by-batch inspection

- Normal batch inspection :
applicable for 6 game machines.
- Type Approval batch inspection (TABI):
applicable for 68 electronic equipment and
161 electric equipment.

Batch-by-batch inspection applies to products with unstable manufacturing technology or those that pose concerns of risk or danger. In order for domestically manufactured or imported products to be placed on the market, manufacturers or importers must apply to the BSMI for inspection of the products before they are shipped or imported.

• • • <http://www.bsmi.gov.tw/wSite/ct?xItem=4447&ctNode=811&mp=2>

BSMI: Bureau of Standards, Metrology & Inspection

Inspection types

Batch-by-batch inspection

For commodities that require registration or inspection in advance of domestic sale, manufacturers or importers must file an application form along with related documents with the BSMI.

For commodities of a fixed type, the BSMI may require that a type approval certificate be applied for and obtained before submitting an application for simplified inspection procedure. In this case, the inspection procedures may be simplified when an inspection application is filed.

BSMI: Bureau of Standards, Metrology & Inspection



Inspection types

Monitoring inspection

Except for chemical product and material, this type is applicable for Toys only

The Monitoring Inspection Scheme is a simplified batch-by-batch inspection system, based upon a confidence building process. When a number of batches of a certain commodity have successfully passed batch-by-batch inspection, the inspection of that commodity may be conducted in the manner of batch-by-batch verification, randomly selected batch inspection, document examination or monitoring program.

<http://www.bsmi.gov.tw/wSite/ct?xItem=4460&ctNode=811&mp=2>



Inspection types

Registration of Product Certification(1/4)

applicable for 76 electronic equipment,
164 electric equipment (some equipments are same as
TA scope)

Commodities which apply RPC, should comply with
conformity assessment procedure requirement of
Article 37. Such conformity assessment procedures
should cover product design stage and production
stage. Applicable conformity assessment modules or its
combination will be announced by BSMI.



Inspection types

Registration of Product Certification(2/4)

Assessment module:

1. Declaration of internal control
2. Type test report
3. Declaration of conformity
4. ISO 9001 certificate covering the scopes of design/developing, production, installation and servicing
5. ISO 9001 certificate covering the scopes of production and installation
6. ISO 9001 certificate covering the scopes of final inspection and test
7. Factory inspection

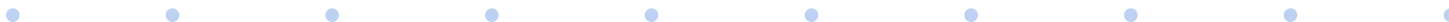
Relevant combination: Module 2+3, 2+4, 2+5, 2+6, 2+7



Inspection types

Registration of Product Certification(3/4)

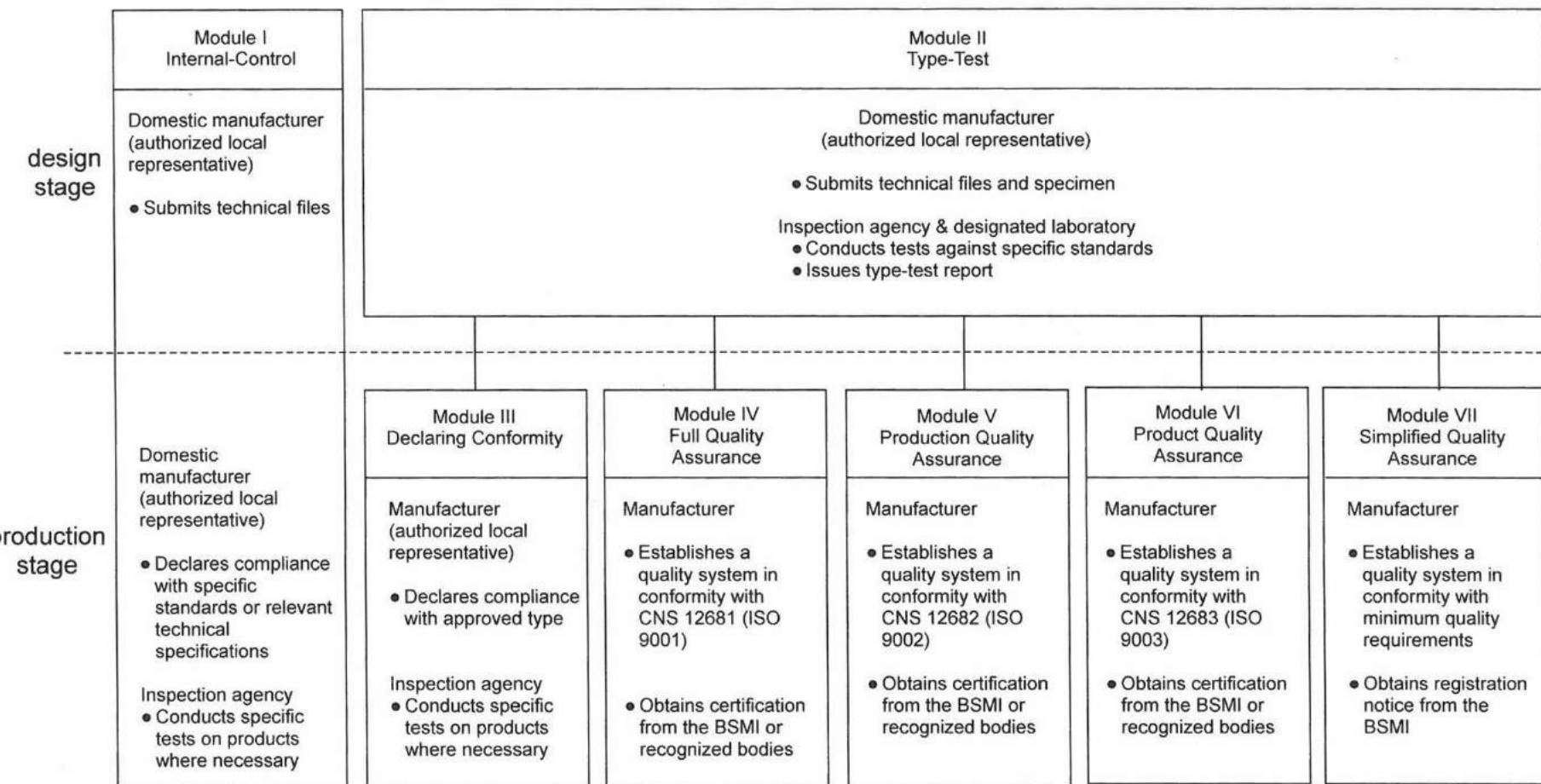
Controlled electric equipment list (all EEEs list can be downloaded at BSMI's web site)					
No.	CCC	Product	Safety std.	EMI std.	Conformity assessment module
1	6301.10.00.00.2	Electric blanket (only for rated voltage <300V or not for Medical use)	CNS 3765 (2005) 、 IEC 60335-2-81 (2002-10) 、 IEC 60335-2-17 (2002-10) 、 IEC 60335-2-23 (2003-10)	CNS 13783-1 (2004)	2+7
2	6307.90.90.90.1 F	Other textile type (only for personal warming equipment use, <300V and not MD use)	CNS 3765 (2005) 、 IEC 60335-2-81 (2002-10) 、 IEC 60335-2-17 (2002-10) 、 IEC 60335-2-23 (2003-10)	CNS 13783-1 (2004)	2+7
3	8414.51.00.00.2 A	Electric fan (only for single phase ac <300V and <125W)	CNS 3765 (2005) 、 IEC 60335-2-80 (2004-03)	CNS 13783-1 (2004)	2+3
4	8414.59.00.00.4 A	Electric fan (only for single phase ac <300V and >=125W)	CNS 3765 (2005) 、 IEC 60335-2-80 (2004-03)	CNS 13783-1 (2004)	2+3
5	8414.59.00.00.4 B	Hand dryer(only for single phase ac <300V)	CNS 3765 (2005) 、 IEC 60335-2-23 (2003-10)	CNS 13783-1 (2004)	2+3



Inspection types

Registration of Product Certification(4/4)

- Seven Modules of the Conformity Assessment Procedures under the Measures Governing the Registration of Product Certification



Inspection types

Declaration of Conformity (DoC)

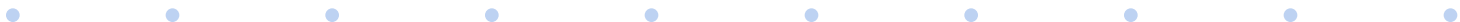
applicable for 38 electronic equipment

Inspection obligor should establish technical document to confirm standard compliance of product, and sign the declaration of conformity accordingly. What necessary terms in the technical document and declaration of conformity will be announced by BSMI



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- **Announced Household appliance control**
- Announced IT/AV appliance control
- Announced control of RoHS



Announced Household appliance control

-Key points of BSMI announcement (1/11)



After modification		Before modification	
Goods	Inspection std.	Goods	Inspection std.
<p>All controlled household appliance (inspection scope: <u>rated voltage not exceeding single-phase 250V AC, including those with/charged with a AC to DC adapter</u>)</p> <p>But except Air conditioner)</p>	<p><u>1.Safety</u> <u>CNS 60335-1 (2014.11) 、</u> <u>CNS 60335-2-XX which</u> <u>harmonized with the newest</u> <u>version IEC 60335 series</u> <u>standard..</u></p> <p><u>2.EMC</u> <u>CNS 13783-1 (2013.10)</u></p> <p><u>3.RoHS</u> <u>CL 5 「presence marking」 of</u> <u>CNS 15663 (2013.7)</u></p>	<p>All controlled household appliance (inspection scope: rated voltage not exceeding single-phase 300V AC, (except Air conditioner)</p>	<p>1. Safety CNS 3765 (2005) 、 IEC 60335-2-XX</p> <p>2. EMC CNS 13783-1 (2004)</p>

Please refer to <http://www.bsmi.gov.tw/> for all controlled household appliance.





Announced Household appliance control

-Key points of BSMI announcement (2/11)

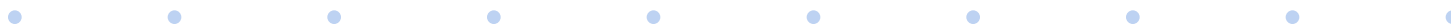
- Background:
 1. Since current test std. of household appliance have been applied for near 10 years, to assure consumer's safety, test std. of the household appliance are planed to revised after enforcement date. However considering the amounts are huge and capacity of designated Lab., may not be enough, it's announced at **Feb. 24, 2017** to enforce since **Jan. 1, 2019**.
 2. Since RoHS requirements are popular and increasing focus in environment protection, it's planed to add restricted substance presence marking requirement according to CNS 15663, the household appliances are announced to add the marking requirement and enforced since **Jan. 1, 2018**.

Announced Household appliance control



-Key points of BSMI announcement (3/11)

3. Referring to subjected product of LVD, the announcement modified the current product scope more clearly as “product with rated voltage under 250V, including product incorporating AC/DC adaptor or charger.”
4. Some new product will be under control but some will be removed accordingly, such as electric fan with separated AC/DC adaptor will be listed since enforcement date (Jan. 1, 2019). On the opposite, battery-type air cleaner will be removed since announcement date.
5. Marking position of CNS15663 is not applied.





Announced Household appliance control

-Key points of BSMI announcement (4/11)

- **For those whom have gotten the certificate and still been under control:**

They should apply for modifying the certificate by submitting the related CNS 15663 document including presence declaration of restricted substances, template and the locations of labeling before **Dec. 31, 2017**, then BSMI will re-issue the certificate with CNS 15663 std. If not completed before **Dec. 31, 2017**, then this certificate will be withdrawn.

If original certificate expiry date is before **Dec. 31, 2018**, then re-issued certificate expiry date will remain same.

If original certificate expiry date is after **Dec. 31, 2018**, and this modification application doesn't include test report/technical document of test std, after modification, then re-issued certificate expiry date will be **Dec. 31, 2018**.

Announced Household appliance control

-Key points of BSMI announcement (5/11)

- **For those whom have gotten the certificate and still been under control:**

If original certificate expiry date is after **Dec. 31, 2018**, they should apply for modifying the certificate by submitting related CNS 15663 document (presence declaration of restricted substances, template and the locations of labeling) and test report/ technical document / application document of test std. after modification before **Dec. 31, 2018**, then BSMI will re-issue the certificate with related new test std. and CNS 15663 std., expiry date of re-issued certificate remains same.

If not completed before **Dec 31, 2018**, then original certificate will be withdrawn.





Announced Household appliance control

-Key points of BSMI announcement (6/11)

- **For those whom apply for the new certificate or extension application of certificate and product is under scope before/after modification (after announcement date 2017.2.24):**

They may apply with related CNS 15663 document (presence declaration of restricted substances, template and the locations of labeling) and test report/technical document/application document of test std. after modification,

Case 1:

If they apply with test std. before modification only, then certificate expiry date will be **Dec. 31, 2017.**





Announced Household appliance control

-Key points of BSMI announcement (6/11)

- **For those whom apply for the new certificate or extension application of certificate and product is under scope before/after modification (after announcement date 2017.2.24):**

Case 2:

If they apply with test std. before modification together with related CNS 15663 document, then certificate expiry date will be **Dec. 31, 2018.**

Case 3:

If they apply with test std. after modification together with related CNS 15663 document, then certificate expiry period will be 3 years after certified.





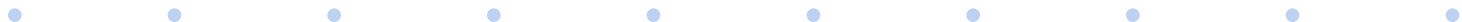
Announced Household appliance control

-Key points of BSMI announcement (7/11)

- **For those whom apply for the new certificate and product is under scope after modification only (after announcement date):**

They should apply with related CNS 15663 document (presence declaration of restricted substances, template and the locations of labeling) and test report/technical document/application document of test std. after modification.

However if certificate is issued before enforcement date, certificate valid period will be Jan. 1, 2019 to Dec. 31, 2021



Announced Household appliance control

-Key points of BSMI announcement (8/11)

- The Identification number composed with “R or T”, applicant code and RoHS presence status should be close to down side or right side of the Inspection Mark, restricted substance status is marked under ID number.
- Dimension of the Inspection Mark and RoHS Label are not fixed but should be appropriately visible.

■ For commodities subject to registration of product certification...



OR



-RoHS Label means 6 restricted substances didn't exceed the reference percentage.

-RoHS (XX, XX) Label means certain restricted substances exceed the reference percentage .
-XX should be marked in which restricted substances exceed the reference percentage (e.g. Pb, Hg).

■ For commodities subject to type approval...



OR



Announced Household appliance control

-Key points of BSMI announcement (9/11)

- The Presence declaration of Restricted Substances
 - The obligatory applicant should make sure the content of declaration is correct that they have conducted the testing. (Test laboratories qualifications are not required)
 - The inspection std. may be IEC 62321, CNS 15050 or other equivalent test methods.
 - No need to submit RoHS test report together with the application.
 - If needed, applicant has to provide verification (proof) within 28 working days when BSMI carries out the market surveillance activities.

限用物質含有情況標示聲明書

證書號碼/受理編號：C1123456789000

標示位置：商品名稱/外包裝/標貼/說明書

廠名：○

設備名稱：桌上型電腦主機，型號（型式）：OptiFlex 9010 NT

單位	限用物質及其檢驗值					
	鉛 (Pb)	汞 (Hg)	鎘 (Cd)	六價鉻 (Cr ⁶⁺)	多溴聯苯 (PBB)	多溴二苯醚 (PBDE)
內殼殼						
測試編號：○ 11-12-QAC-033-01a	○	○	○	○	○	○
電路板						
測試編號：○ 11-12-QAC-033-10a -17a	○	○	○	○	○	○
主機殼						
測試編號：○ 11-12-QAC-033-05b -29c	○	○	○	○	○	○
磁碟機						
測試編號：○ 11-12-QAC-033-17a -17a	○	○	○	○	○	○
電源供應器						
測試編號：○ 11-12-QAC-033-29a 11-12-QAC-033-21a -24a	○	○	○	○	○	○
光碟機						
測試編號：○ 11-12-QAC-033-14a -16a	○	○	○	○	○	○
存取裝置						
測試編號：○ 11-12-QAC-033-13a -13a	○	○	○	○	○	○

內殼式碟卡機

測試編號：○
11-12-QAC-033-30
11-12-QAC-033-11a

電路板

測試編號：○
11-12-QAC-033-10a

磁碟機

測試編號：○
11-12-QAC-033-50-7a

其它固定磁碟機

測試編號：○
11-12-QAC-033-11a
-17a

備註1：*輸出值 0.1 mg/kg 或 *輸出 0.01 mg/kg 係指限用物質之百分比含量超過符合比例之重量基礎。

備註2：*○ 係指該項限用物質之百分比含量未超出符合比例之重量基礎。

備註3：* - 係指該項限用物質高於限制值。

(檢驗標準詳見：臺北市政府公告之百分比含量限制值表或經公告之重量基礎標準。檢驗標準可於 CNS15050 第 3.2 節 (3) 檢驗方法內查詢。數值：均製成以內空欄填寫) (備註：- 備註)

茲將經聲明提供之商品限用物質含有情況檢測內容係經執行測試作業及經過之品質管理稽核，並備置前法相關文件，確認正確無誤後提供貴局。並同考部合貴局執行後市場管理作業所需，依商品檢驗法第 49 條之規定，於限期 10 個工作天內提供前述相關文件以供審查。

此致

經銷商標準檢驗局

申請人：桌上型電腦有限公司

負責人：曾大雄 (簽名)

中華民國 104 年 4 月 30 日

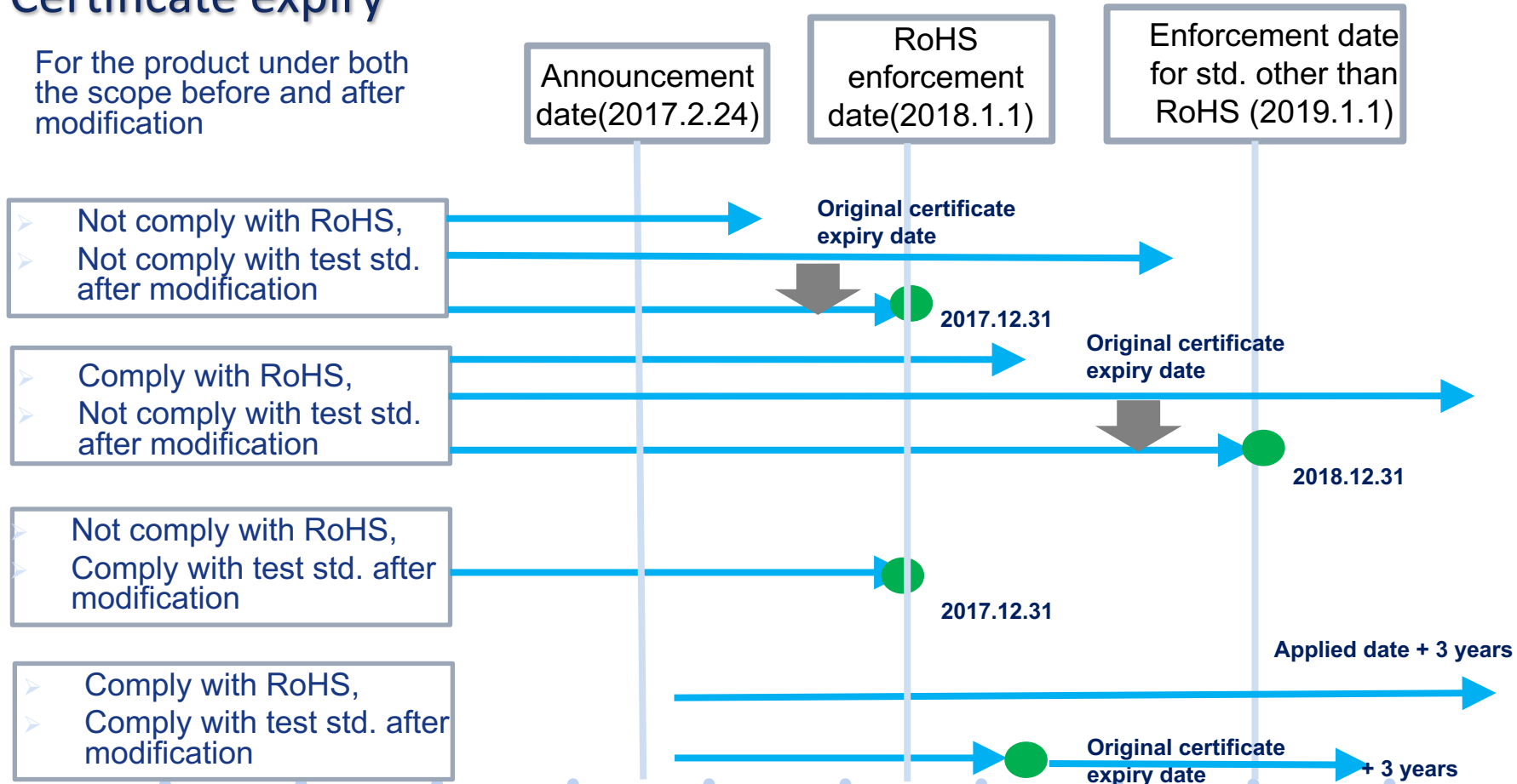
Announced Household appliance control

-Key points of BSMI announcement (10/11)



● Certificate expiry

- For the product under both the scope before and after modification



Announced Household appliance control

-Key points of BSMI announcement (11/11)

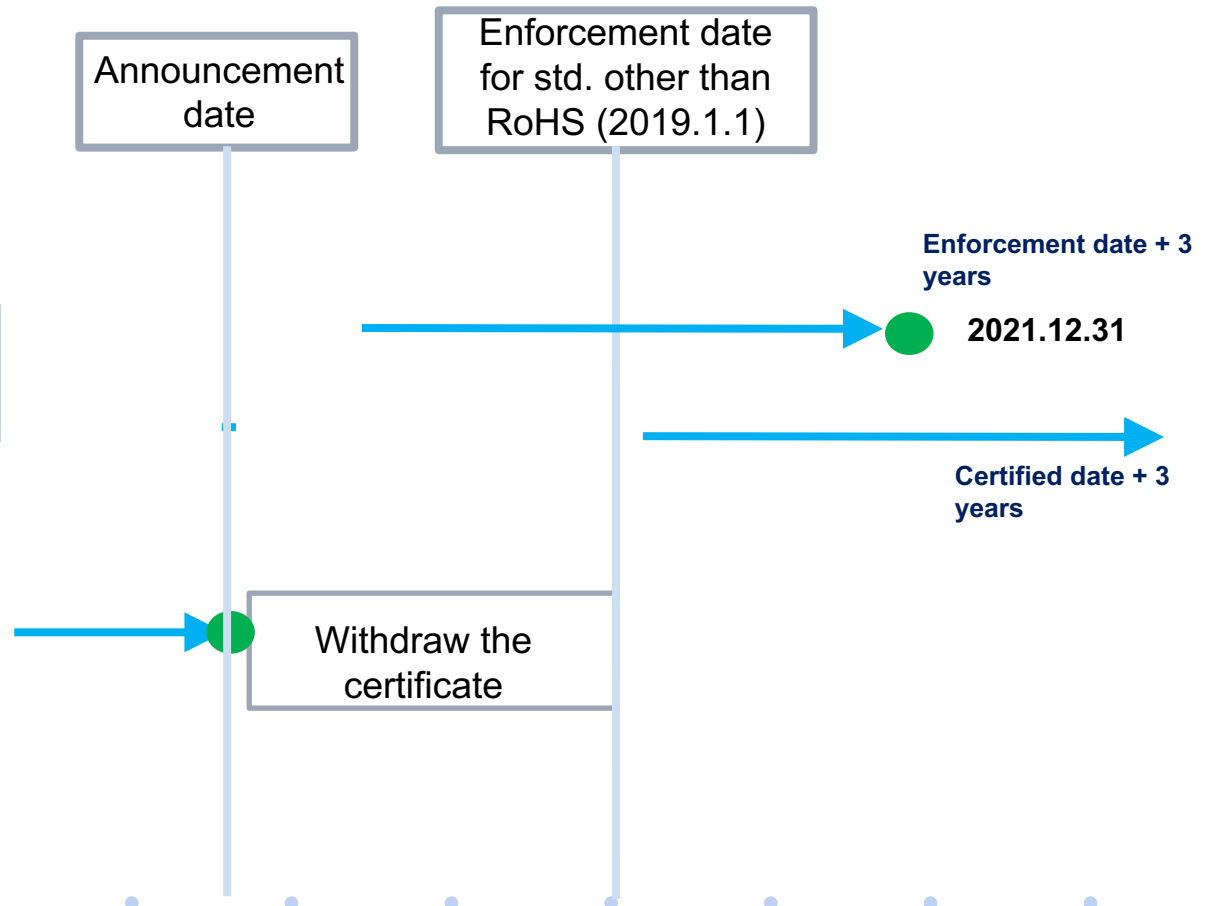


- Certificate expiry

- For new goods under control

- Comply with RoHS,
- Comply with test std. after modification

- For Goods changed to be outside control list



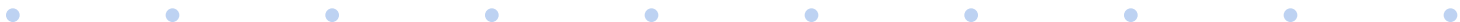
Announced Household appliance control

-Different requirement for air conditioner(1/4)



After modification		Before modification	
Goods	Inspection std.	Goods	Inspection std.
Air conditioner (for product with rated voltage single phase under 250V or three phase under 600V, and cooling capacity less than 71kW)	1. CNS 3765 (2005) 、 2. IEC 60335-2-40 (2005-07) 、 3. CNS 3615(excluding cl 5.7, 5.8, 5.9 and 5.10) 、 4. CNS 13783-1 (2004) or CNS 13438(2006) 5. <u>CL 5 「presence marking」 of CNS 15663 (2013)</u>	Air conditioner (for product with _rated voltage single phase under 250V or three phase under 600V, and cooling capacity less than 71kW)	CNS 3765 (2005) 、 IEC 60335-2-40 (2005-07) 、 CNS 3615(excluding cl 5.7, 5.8, 5.9 and 5.10) 、 CNS 13783-1 (2004) or CNS 13438(2006)

Please refer to <http://www.bsmi.gov.tw/wSite/public/Data/f1493109420919.pdf>





Announced Household appliance control

-Different requirement for air conditioner(2/4)

1. Since RoHS requirements are popular and increasing focus in environment protection, it's announced at **April 24, 2017** to add restricted substance presence marking requirement according to CNS 15663, and enforced since **July 1, 2018**.



Announced Household appliance control

-Different requirement for air conditioner(3/4)

- **For those whom have gotten the certificate and still been under control:**

They should apply for modifying the certificate by submitting presence declaration of restricted substances, template and the locations of labeling before **June 30, 2018**, then BSMI will re-issue the certificate with CNS 15663 std. If not completed before **June 30, 2018**, then this certificate will be withdrawn.

If original certificate expiry date is after **June 30, 2018**, and this modification application doesn't include presence declaration of restricted substances, template and the locations of labeling , then re-issued certificate expiry date will be **June 30, 2018**.





Announced Household appliance control

-Different requirement for air conditioner(4/4)

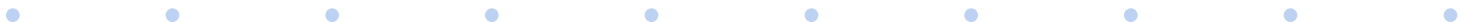
- **For those whom apply for the new certificate or extension application of certificate and product is under scope before/after modification (after announcement date 2017.4.24):**

Applicant may not apply with related CNS 15663 document (presence declaration of restricted substances, template and the locations of labeling) before enforcement date (2018.7.1), then the certificate expiry date will be **June 30, 2018**.



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Announced IT/AV appliance control

-Key points of BSMI announcement (1/11)

After modification		Before modification	
Goods	Inspection std.	Goods	Inspection std.
All controlled IT appliance	1. Safety CNS 14336-1 (2010) 2. EMC CNS 13438 (2006) 3. CL 5 「presence marking」 of CNS 15663 (2013)	All controlled IT appliance	1. Safety CNS 14336-1 (2010) 2. EMC CNS 13438 (2006)
All controlled AV appliance	1. Safety CNS 14408 (2004) 2. EMC CNS 13439 (2004) 3. CL 5 「presence marking」 of CNS 15663 (2013)	All controlled AV appliance	1. Safety CNS 14408 (2004) 2. EMC CNS 13439 (2004)

Please refer to <http://www.bsmi.gov.tw/wSite/public/Data/f1493109420919.pdf> for all controlled product





Announced IT/AV appliance control

-Key points of BSMI announcement (2/11)

1. For IT/AV appliance, Since RoHS requirements are popular and increasing focus in environment protection, divided into three stages.
2. Stage 1 is for TV, monitor, and copy machine... (6 item),
3. it's announced at **Dec. 29, 2015** to add restricted substance presence marking requirement according to CNS 15663, and enforce since **July 1, 2017**.



Announced IT/AV appliance control

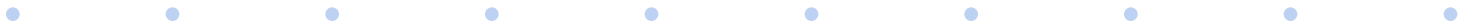
-Key points of BSMI announcement (3/11)



- **For those whom have gotten the certificate and still been under control:**

They should apply for modifying the certificate by submitting presence declaration of restricted substances, template and the locations of labeling before June 30, 2017, then BSMI will re-issue the certificate with CNS 15663 std. If not completed before June 30, 2017, then this certificate will be withdrawn.

If original certificate expiry date is after June 30, 2017, and this modification application doesn't include presence declaration of restricted substances, template and the locations of labeling , then re-issued certificate expiry date will be June 30, 2017.





Announced IT/AV appliance control

-Key points of BSMI announcement (4/11)

- **For those whom apply for the new certificate or extension application of certificate and product is under scope before/after modification (after announcement date 2015.12.29):**

Applicant may not apply with related CNS 15663 document (presence declaration of restricted substances, template and the locations of labeling) before enforcement date (2017.7.1), then the certificate expiry date will be June 30, 2017.





Announced IT/AV appliance control

-Key points of BSMI announcement (5/11)

1. Stage 2 is for wirelesskeyboard, scanner, mouse... (68 items), it's announced at Jan. 4, 2017 to add restricted substance presence marking requirement according to CNS 15663, and enforce since **Jan. 1, 2018**.





Announced IT/AV appliance control

-Key points of BSMI announcement (6/11)

- **For those whom have gotten the certificate and still been under control:**

They should apply for modifying the certificate by submitting presence declaration of restricted substances, template and the locations of labeling before Dec. 31, 2017, then BSMI will re-issue the certificate with CNS 15663 std. If not completed before Dec. 31, 2017, then this certificate will be withdrawn.

If original certificate expiry date is after Dec. 31, 2017, and this modification application doesn't include presence declaration of restricted substances, template and the locations of labeling , then re-issued certificate expiry date will be Dec. 31, 2017.





Announced IT/AV appliance control

-Key points of BSMI announcement (7/11)

- **For those whom apply for the new certificate or extension application of certificate and product is under scope before/after modification (after announcement date 2017.01.04):**

Applicant may not apply with related CNS 15663 document (presence declaration of restricted substances, template and the locations of labeling) before enforcement date (2018.1.1), then the certificate expiry date will be Dec. 31, 2017.

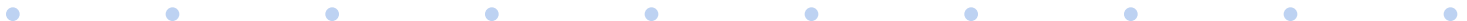




Announced IT/AV appliance control

-Key points of BSMI announcement (8/11)

1. Stage 3 is for loudspeakers, amplifier ... 24 items, it's announced at **Oct. 12, 2017** to add restricted substance presence marking requirement according to CNS 15663, and enforce since **July 1, 2018**.





Announced IT/AV appliance control

-Key points of BSMI announcement (9/11)

- **For those whom have gotten the certificate and still been under control:**

They should apply for modifying the certificate by submitting presence declaration of restricted substances, template and the locations of labeling before **June 30, 2018**, then BSMI will re-issue the certificate with CNS 15663 std. If not completed before **June 30, 2018**, then this certificate will be withdrawn.

If original certificate expiry date is after June 30, 2018, and this modification application doesn't include presence declaration of restricted substances, template and the locations of labeling , then re-issued certificate expiry date will **be June 30, 2018**.



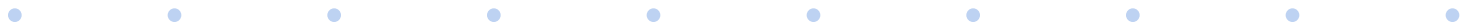


Announced IT/AV appliance control

-Key points of BSMI announcement (10/11)

- **For those whom apply for the new certificate or extension application of certificate and product is under scope before/after modification (after announcement date 2017.10.12):**

Applicant may not apply with related CNS 15663 document (presence declaration of restricted substances, template and the locations of labeling) before enforcement date (2018.7.1), then the certificate expiry date will be **June 30, 2018**.





Announced IT/AV appliance control

-Key points of BSMI announcement (11/11)

1. New products will be under control ,
2. Projectors and network media players be listed since enforcement date (**July 1, 2017**).



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Announced control of RoHS



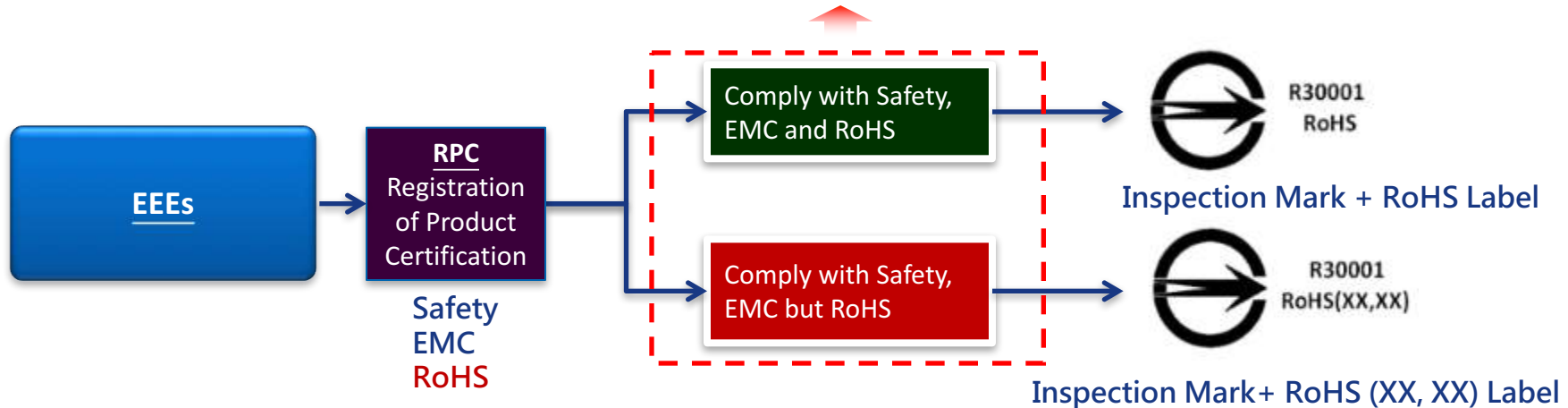
-RoHS Overview

CNS 15663: The Presence Declaration of Restricted Substances

機器名稱：TV 型號：OO-AAA

零部件	化學物質符號					
	Pb	Hg	Cd	Cr ⁶⁺	PBB	PBDE
安裝載板	超出0.1wt%	○	○	○	○	○
外殼	○	○	超出0.01wt%	○	○	超出0.1wt%
陰極線管	豁免項目	○	○	○	○	○
揚聲器	○	○	○	超出0.1wt%	○	○
遙控器等配件	豁免項目	○	○	○	○	○

註一：「超出0.1wt%」與「超出0.01wt%」表示標的化學物質含量數值超過含量標準限值
 註二：「○」表示標的化學物質含量數值未超過含量標準限值
 註三：「豁免項目」表示標的化學物質符合豁免項目

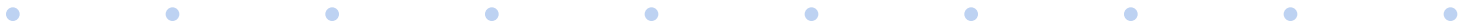


Announced control of RoHS



-CNS 15663 (1/3)

Title	Code	Applicable	Not Applicable & Exemption	Object
Guidance to reduction of the restricted chemical substances in electrical and electronic equipment	CNS 15663	<p>EEE designed for use with a voltage rating not exceeding 1,000 volts for alternating current and 1,500 volts for direct current (Scope)</p> <p>Categories of EEEs covered by this std. (Annex B)</p>	<p>Not Applicable: (Annex C)</p> <ol style="list-style-type: none"> 1. Products intended to protect national security or confidential, as well as for the military purpose. 2. Products which are not electricity-driven. 3. Products which fulfill its primary function without relying on the electrical or electronic component. 4. EEE that is part of another type of products. 5. Batteries. 6. Large-scale stationary industrial tools. <p>Exemption: (Annex D)</p>	To promote the industry to restrict the use of six hazardous chemicals in electrical and electronic equipment.



Announced control of RoHS



-CNS 15663 (2/3)

Annex A: Reference percentage value of presence condition

Restricted Substances	Chemical substance symbol	Reference percentage value of presence condition	Limited value (ppm)
		Weight percentage (wt%)	
Lead and its compound	Pb	0.1	1000
Mercury and its compound	Hg	0.1	1000
Cadmium and its compound	Cd	0.01	100
Hexavalent chromium compound	Cr ⁺⁶	0.1	1000
Polybrominated biphenyl	PBB	0.1	1000
Polybrominated biphenyl ethers	PBDE	0.1	1000



Announced control

-CNS 15663 (3/3)

The presence declaration of Restricted Substances (demo template) :

設備名稱(Equipment name) : <u>Water dispenser</u> · 型號(Model no.) : XXX						
單元 (Unit)	限用物質及其化學符號 (Restricted Substances & its chemical symbol)					
	鉛 (Pb)	汞 (Hg)	鎘 (Cd)	六價鉻 (Cr ⁺⁶)	多溴聯苯 (PBB)	多溴二苯 醚(PBDE)
電路板(PCB)	Exceeding 0.1 wt %	○	○	○	○	○
外殼(Enclosure)	○	○	Exceeding 0.01 wt %	○	○	Exceeding 0.1 wt %
<u>Heating element</u>	○	○	○	○	○	○
<u>Power Cord</u>	○	○	○	○	○	○
<u>SW</u>	-	Exceeding 0.1 wt %	○	○	○	○

Note 1: "Exceeding 0.1 wt %" and "Exceeding 0.01 wt %" indicate that the percentage content of the restricted is exceeding the reference percentage value of presence condition.

Note 2: "○" indicate that the percentage content of the restricted substance does not exceed the reference percentage value of presence condition.

Note 3: "-" indicate that the restricted substance corresponds to the exemption.



Thank you !

